

# Adsorption of successive layers of H<sub>2</sub> molecules on a model copper surface: performances of second- to fifth-rung exchange-correlation functionals

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**Abstract** The interaction of H<sub>2</sub> molecules with a Cu(100) metallic surface has been investigated by DFT approaches using a (H<sub>2</sub>)<sub>n</sub>Cu<sub>13</sub> cluster model. Nine exchange-correlation functionals, belonging to the generalized gradient approximations (GGA), meta GGA (mGGA), hybrid Kohn–Sham/Hartree–Fock models, either based on GGAs or mGGAs, range-separated hybrids, and double-hybrid families, have been tested on the chemisorption and physisorption processes involving one or two H<sub>2</sub> layers. The addition of an empirical correction for dispersion has also been tested for some of these functionals. The calculated energies and structural parameters were compared to sophisticated multi reference configuration interaction including Davidson’s correction for quadruple excitations (MRCI + *Q*). Our results show that among the nine considered exchange-correlation functionals, none can accurately reproduce all processes involved in the successive layers adsorption. Although an hybrid based on a mGGA such as M06-2X can quantitatively describe both the

physisorption step and dissociation barriers involved in the adsorption of the first H<sub>2</sub>, it fails to reproduce its chemisorption. On the other hand, significant discrepancies with the reference post-HF data are obtained for the description of the second layer interaction, no matter which functional is considered, outlining the need of improvement and/or development of exchange-correlation functionals suitable for complex systems such as H<sub>2</sub>/H<sub>2</sub>Cu.

**Keywords** DFT · Physisorption · H<sub>2</sub> · Copper · Cluster

## 1 Introduction

Molecule-surface interactions have been widely studied, particularly for their interest in catalytic processes. Among them, the H<sub>2</sub>-surface reaction has participated to the understanding of various mechanisms and it is one of the best known activated reaction yielding dissociative chemisorption process. Theoretical approaches have provided deep insights on the chemical phenomenon [1]. Many studies have focused on the adsorption of H<sub>2</sub> on clean copper surfaces and most of them were concerned with the dissociative chemisorption and the subtle effects governing the dissociation on surfaces. Using multi-dimensional potential energy surfaces (2, 4, and 6D PES) to describe the interaction potential, the dynamics of the chemisorption have been studied, taking into account the effects of the molecular ro-vibrational excitations on the dissociation, the scattering, the sticking probabilities, the anisotropy of the energy, the corrugative effects, and the reactivity at surfaces [2–9].

In most of these studies, the surface is described by a periodic 2D-representation, namely a slab representation, calculations being performed using density functional

Dedicated to Professor Vincenzo Barone and published as part of the special collection of articles celebrating his 60th birthday.

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theory (DFT) methods with  $H_2$  molecules either bound to the surface or very close to it (distances are smaller than 4 bohr). Alternative studies have also been performed, modeling the surface by a cluster. In such cases, the size of the cluster plays a relevant role since it influences the reactivity of the metal toward  $H_2$ , as demonstrated by Raghavan et al. [10] in the case of  $D_2/Ni$ , and also the quality of the results [11]. Moreover, it has been shown that, in the case of Cu, 3D metallic clusters [7] with more than 6 atoms can satisfactorily reproduce the experimental interatomic distances [12]. Similar conclusions were obtained by Siegbahn et al. [13] for Ni clusters with even (14) and odd (13) numbers of metallic atoms and one  $H_2$  molecule. On the other hand, the convergence of the adsorption energy with the cluster size [14] is more problematic. Despite the large amount of theoretical works, some questions still remain, especially concerning the energetics of  $H_2$  molecules interacting with a metallic surface already covered with a first layer of hydrogens. In a recent theoretical study on the  $H_2/Cu$  system [15] using a cluster model consisting of 13 Cu atoms, it has been shown that the chemisorption and physisorption of the first  $H_2$  layer and the van der Waals (vdW) interactions responsible for the physisorption of additional  $H_2$  layers can be satisfactorily reproduced by highly correlated electronic wavefunctions. Unfortunately, such refined approaches cannot be easily used in large or extended (2D or 3D) systems and other possibilities must be explored.

Density functional theory (DFT) techniques have been shown to be robust and reliable for the accurate evaluation of a large number of chemical and physical properties in different phases ranging from single molecules to solid states [16, 17]. Therefore, it appeared interesting to investigate the behavior of different DFT models for such an intriguing system,  $(H_2)_nCu_{13}$ . Several DFT studies have already been devoted to the specific problem of the interaction of one  $H_2$  molecule with metallic surfaces, but more questionable is indeed the DFT description of the interaction of a second layer of  $H_2$  molecules with the surface. This weak interaction is ruled by vdW forces and it is well known that standard exchange-correlation functionals provide a poor description of such dispersion interactions. Recent studies have shown, however, that sophisticated DFT approaches can give promising outcomes for such difficult cases. In this sense, meta-GGA models (see below) give interesting results for quantitative reproduction of weak interactions in small model systems like rare-gas dimers [18, 19] or in biological molecules [20]. More recently, the introduction of a perturbative second-order contribution in the DFT framework, through a semiempirical mixing, seems to be another promising route for vdW systems, even if, as for its predecessors it has been tested only on model systems [21]. More easily, addition of

an empirical correction to a reparametrized functional [21] is another possibility, which has already been successfully applied to numerous weakly interacting systems [22–24].

The goal of the present work is thus to extend this study on weak interactions by considering a more complex (and chemically interesting) system,  $(H_2)_nCu_{13}$ , in which interactions of different nature (physisorption and chemisorption) occur and to assess the performances of different exchange-correlation functionals for the description of such a system.

## 2 Exchange-correlation functionals

In this section, we give a brief survey of the different classes of functionals selected for the present study. They belong to the second, third, fourth, and fifth levels of the so-called five level Jacob's ladder [25], which goes from local to fully non-local functionals, suggesting a complexity and quality improvement when climbing the different levels. Extensive literature is available on this subject (see Ref. 26 for instance).

In the second level, generalized gradient approximation (GGA) functionals include dependence on the electronic density  $\rho$  and its gradient  $\nabla\rho$ . DFT with GGAs includes self-exchange and self-correlation, both being unphysical [26]. Self-correlation can be eliminated in the next level, by including an additional dependence on the Laplacian of the density  $\Delta\rho$  or on the kinetic energy density in meta-GGAs. Self-exchange on the other hand can be removed by adding a fraction of non-local Hartree–Fock (HF) exchange in the expression of the energy of a GGA or a mGGA, constituting the so-called hybrid functionals in the fourth level. Finally, in the fifth level, double-hybrid functionals such as B2PLYP [21] replace a fraction of the semi-local correlation energy by a non-local correlation energy expression that employs the Kohn–Sham orbitals in second-order many-body perturbation theory.

Global GGA hybrids (GH, such as B3LYP [27] or PBE0 [28, 29]), which include a *constant* percentage of HF exchange that is mixed with density functional exchange, are probably the most popular functionals since they tend to improve GGA or mGGA computed properties, both for molecular and solid-state systems. In some cases, however, range-separated hybrids (RSH), in which the Coulomb operator is usually separated in a short- and a long-range part with varying amounts of HF exchange depending on the point in space, perform better.

In this paper, nine functionals have been considered: (1) PW91PW91 [30] (referred to as PW91 in the following), a GGA which is well known to significantly overestimate van der Waals interactions [31, 32], (2) a dispersion-corrected GGA (B97-D [33]), based on a reparametrized B97

functional [34] and including damped atom-pairwise dispersion corrections, commonly used as a reference in the modeling of weakly interacting systems, (3) two popular hybrid GGAs, B3LYP [27] and PBE0 [28, 29], the first typically predicting unbound systems for this kind of interactions [35] while the latter has already been shown to give better results [18, 21], (4) M06-L [36], a mGGA parametrized on a diverse set of data including non-covalent interactions, (5) an hybrid mGGA, M06-2X [37], aimed at an accurate description of both thermochemistry and non-bonded interactions with 54% of HF exchange, (6) two RSH,  $\omega$ B97X [37] and  $\omega$ B97XD [38], including 15.77 and 100% of HF exchange at short- and long-range, respectively, with an additional empirical dispersion correction for the latter, which has already been successfully applied to the treatment of non-covalent interactions [39], (7) B2PLYP [21], a double hybrid belonging to the fifth level of the Jacob's ladder, which has already been shown to poorly describe the  $\pi$  stacking of the benzene dimer for instance [21].

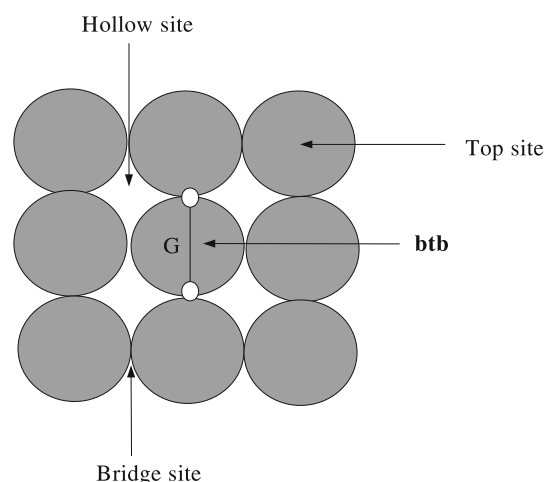
For the sake of clarity, we note that the empirical dispersion contribution in the B-97D and  $\omega$ B97XD functionals differ in two ways: a scaled contribution is used in the former, while it is not in the latter, and different dispersion parameters are also considered.

### 3 Computational details

The metallic surface corresponding to a (100) crystal face is described by a cluster containing 13 atoms of Cu : 9 on the first layer interacting with the  $H_2$  molecule, and 4 below. A rigid unrelaxed cluster model, in which distances between Cu atoms are kept fixed at their solid-state values, has been chosen, since relaxation of such a system could induce spurious effects. This model is similar to the one used in a recent theoretical study [15] whose results are compared to the present ones.

All calculations have been carried out with the latest available commercial version of the Gaussian code [40], using an effective core potential (ECP) and associated basis set developed by the Stuttgart group [41] for Cu [19 electrons are treated explicitly ( $3s^2$ ,  $3p^6$ ,  $3d^{10}$ ,  $4s^1$ )], while a large diffuse basis set 6-311++G(3df, 3pd) [42–45] is chosen for the hydrogen atoms in order to insure a proper description of the negative  $H^\delta$  on the metallic surface.

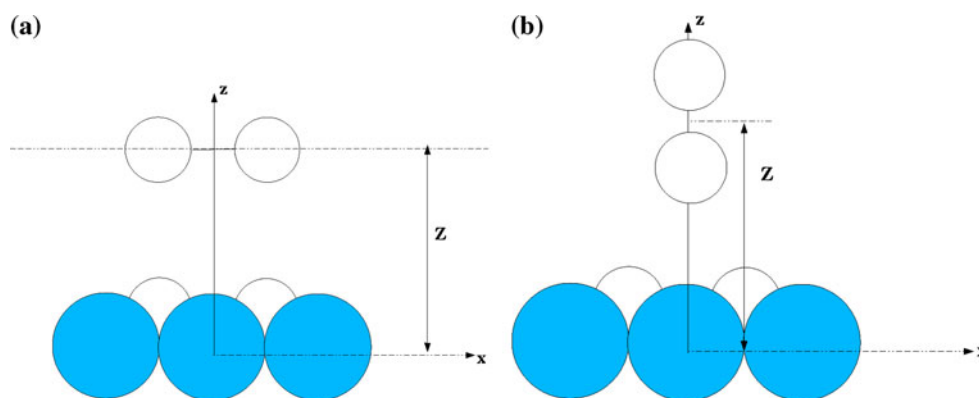
For symmetry reasons, the position of the center of mass of  $H_2$  has been constrained along the  $z$  axis, perpendicular to the surface at the center of the central Cu atom. The dissociation path investigated for the first  $H_2$  molecule proceeds along the bridge-top-bridge (*btb*) orientation, as described in Fig. 1, where the center of mass of  $H_2$  is on a top site and H atoms are dissociating on bridge sites.



**Fig. 1** Definition of the *btb* dissociation pathway, H atoms (white circles) on the (100) surface of Cu (gray circles) are localized on the bridge sites. *btb* corresponds to an approach where *G* center of mass of  $H_2$  is on a *top* site and the H atoms are dissociating on *bridge* sites

According to recent calculations by Kokh et al. [46] employing the embedded cluster model and highly correlated wavefunctions, even though hollow sites are energetically favored for most of the molecular chemisorbed species, the difference in the binding energies between hollow, top, and bridge sites is rather small if the bond has a large fraction of ionic character, which is the case in the present situation. Such results confirmed the DFT calculations of Eichler et al. [47] who found an energy difference smaller than 0.1 eV between the binding energies in hollow or bridge sites. Since the different sites of chemisorption have roughly the same energy and correspond to stable or metastable minima, we have chosen to work here with the *btb* orientation of the  $H_2$  molecule because it allows additional symmetry simplifications within our cluster model. Two degrees of freedom have been investigated,  $Z$  the distance of the center of mass of  $H_2$  to the center of the central Cu atom, and  $d$  the intramolecular H–H bond distance. In the present work, we neglect the rotations of the first  $H_2$  molecule, in particular we have fixed the molecular axis parallel to the surface, which is the most favorable orientation for the dissociation of the  $H_2$  molecule. For the second layer of  $H_2$  molecules, two orientations are selected: one with the molecular axis of  $H_2$  parallel to the surface and to the first molecule, and the other one with the molecular axis perpendicular to the surface. These systems are depicted in Fig. 2, respectively. For each value of the distance  $Z$ , the  $H_2$  bond length  $d$  has been optimized. On this 2D-Potential Energy Surface, the corresponding stationary points give the minimum energy pathway of  $H_2$  along the  $z$  axis perpendicular to the cluster surface. The reference zero energy is defined as the sum of the energy of the free  $H_2$  molecule and the energy of the bare  $Cu_{13}$  cluster.

**Fig. 2** Sketches of the second layer interaction for **a** parallel and **b** perpendicular approaches. The convention for the atoms is the same as in Fig. 1



In the DFT calculations, the contamination of the electronic state has been monitored through the expectation value of the  $S^2$  operator,  $\langle S^2 \rangle$  whose value was always close to 0.75 for all the physisorption processes (with one or two  $H_2$  molecules). More involved is the situation for the chemisorption since two electronic states, namely  $^2A_1$  and  $^2B_2$  are very close in energy. For instance, their energy difference at the equilibrium geometry is about 0.1 eV at the B3LYP level. This is in line with a previous work carried out by some of us on  $(H_2)_n/Ag_{13}$  [48], in which it was shown that several electronic states were interacting in the vicinity of the activation barrier, leading to complex electronic structures. Following the previous MRCI study, only the  $^2A_1$  state has been considered in this work. Moreover, we want to notice that it was not possible to compute energies with the same basis used for the MRCI calculations due to problems related to the errors of the numerical integration for the exchange-correlation contribution to the total energy. In addition, the basis set superposition error (BSSE) has not been evaluated in order to make our DFT calculations directly comparable to the MRCI calculations, carried out under similar conditions [15]. In the MRCI +  $Q$  calculations, it is rather small in the present  $H_2/Cu$  system and estimated to  $4 \times 10^{-4}$  eV close to the minimum of physisorption, namely smaller than the expected accuracy of the MRCI calculation, which is rather in the range of  $10^{-3}$  eV. With all considered DFT methods on the other hand, it is found below 0.1 eV.

## 4 Results and discussion

### 4.1 First layer interaction

In the study of the interaction of  $H_2$  with the copper surface, three different steps are easily identified, namely the physisorption, the formation of the transition state, and the chemisorption. These steps are analyzed and discussed separately because they involve electronic effects of

different nature. Interaction energies ( $E$ ), dihydrogen bond lengths [ $d(H-H)$ ], and distance from the cluster surface ( $Z$ ) are displayed in Table 1 and compared to available data [7, 15].

#### 4.1.1 Physisorption

An experimental physisorption energy of approximately 30 meV has been deduced from scattering experiments and selective adsorption measurements by Andersson et al. [49, 50]. From the data given in Table 1 one can see that the MRCI calculations [15] including the Davidson correction (MRCI +  $Q$ ) are in excellent agreement with experimental results, and they concluded to the existence of a shallow physisorption minimum located far from the surface, at about 7.0 bohr. Typical shape of the potential energy curve of interacting fragments is obtained in Fig. 3 for all methods: repulsive component at short-range, followed by an attractive component at larger distances, with more or less deep wells.

We can first note that since the physisorption interaction does not change dramatically the internal structure of the  $H_2$  molecule, it is not surprising that the  $d(H-H)$  data are weakly sensitive to the choice of the theoretical model, all methods providing very close results. On the other hand, vertical distances ( $Z$ ) and interaction energies are much more functional-dependent. In particular, computed interaction energies range between 1.1 and 38 meV, the  $\omega B97X$ ,  $\omega B97XD$ , and M06-2X functionals giving an error below 3 meV with respect to the MRCI +  $Q$  data, outperforming the original B97-D functional often used as a reference in the modeling of weakly interacting systems. The largest errors are obtained at the GH level for the interaction energies, B3LYP predicting almost unbound  $H_2$  and PBE0 slightly improving the description. Interestingly, we note that a standard GGA functional such as PW91 underestimates the MRCI +  $Q$  value of about the same amount as the B97-D functional overestimates it.

**Table 1** First layer interaction energy for the three processes. Data previously obtained with periodic boundary conditions (PBC) are also reported

	Physisorption			Barrier		Chemisorption		
	E/meV	Z/bohr	d(H–H)/bohr	E/eV	Z/bohr	E/eV	Z/bohr	d(H–H)/bohr
PW91	22.4	7.50	1.42	0.88	2.70	0.64	1.93	4.84
PBE0	6.5	8.30	1.41	1.02	2.65	0.53	1.96	4.72
B3LYP	1.1	9.70	1.40	1.26	2.65	0.54	1.94	4.77
B97-D	35.5	6.31	1.41	1.12	2.66	0.60	1.90	4.82
$\omega$ B97X	27.2	6.67	1.41	1.19	2.63	0.55	2.02	4.57
$\omega$ B97XD	30.5	6.43	1.41	1.16	2.63	0.57	1.98	4.63
M06-L	38.0	6.18	1.41	0.81	2.74	0.60	2.02	4.85
M06-2X	25.6	7.00	1.40	1.56	2.62	0.22	2.15	4.64
Other works								
MRCI + $Q^a$	28.2	6.99	1.40	1.54	2.46	0.60	1.9	4.82
BP88–PBC	–	–	–	0.70 <sup>b</sup>	2.62 <sup>b</sup>	$\sim 0.5^b$	2.0 <sup>b</sup>	4.82 <sup>b</sup>
	–	–	–	0.63 <sup>c</sup>	2.60 <sup>c</sup>	–	–	–
PW91–PBC	–	–	–	1.21 <sup>d</sup>	2.65 <sup>d</sup>			
	–	–	–	–	–	0.47 <sup>e</sup>	–	–
Experiment <sup>f</sup>	29.9–31.4	–	–	–	–	–	–	–

DFT calculations have been performed using SDD basis sets for the copper atoms and the large and diffuse 6-311++G(3df, 3dp) basis for the hydrogen atoms. Data previously obtained with periodic boundary conditions (PBC) are also reported

<sup>a</sup> See Ref. [15]

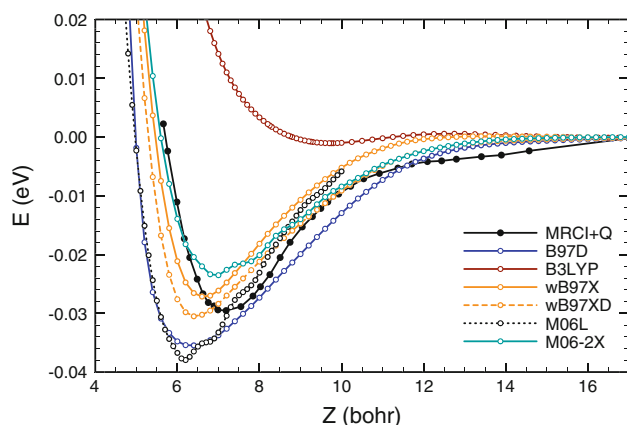
<sup>b</sup> See Ref. [7]

<sup>c</sup> See Ref. [4]

<sup>d</sup> See Ref. [8]

<sup>e</sup> See Ref. [53]

<sup>f</sup> See Refs. [49, 50]



**Fig. 3** Physisorption curves for the first  $H_2$  layer interaction on the model  $Cu_{13}$  cluster (MRCI +  $Q$  data from Ref. [15])

The MRCI +  $Q$  vertical distance ( $Z$ ) is only quantitatively reproduced at the M06-2X level, a GmH parametrized on a diverse set of data including non-covalent interactions, all other functionals predicting either too small (B97-D,  $\omega$ B97X,  $\omega$ B97XD, M06-L) or too large (PW91, PBE0, B3LYP) values. This is especially true for these two latter functionals for which computed errors with respect to the MRCI +  $Q$  data are larger than 1 bohr.

Surprisingly, we note that a mGGA such as M06-L poorly describes such an interaction, with significant errors of about 10 meV on the interaction energy and 0.6 bohr on the  $Z$  parameter. This might be related to the sawtooth shape of the M06-L curve around the minimum in Fig. 3, due to the default integration grid used. Finally, we note that significant differences are obtained in the shape of the energy profiles in the region between 10 and 12 bohr. In particular, the only functional reproducing nicely the MRCI +  $Q$  profile is  $\omega$  B97X, and all other functionals leading to too steep or too flat curves. At larger distances ( $Z > 12$  bohr), the interaction is also poorly described with an underestimation of the interaction with all functionals.

The B2PLYP approach deserves a separate comment since it leads to unphysical results, characterized by large ( $>1$  Hartree) interaction energies. A deep analysis of the numerical data suggests that correct energies are obtained for the hybrid part of the functional, which is close to those provided by similar functionals, like B3LYP, while the erroneous values arise from the perturbative correlation corrections. This error could confirm that, at least in our case, the Kohn–Sham Hamiltonian is not the optimal choice for the perturbation expansion [51]. The same behavior has been found for the other systems studied in



the present paper so that the results obtained with the B2PLYP functionals are not discussed or reported in the tables.

To sum up, physisorption of the first H<sub>2</sub> molecule on the Cu<sub>13</sub> cluster represents a challenging system for DFT. Although a nice agreement with the MRCI + *Q* data can be obtained with some functionals, only one (M06-2X) simultaneously quantitatively reproduces the three chosen parameters (interaction energy, vertical H<sub>2</sub>/Cu<sub>13</sub> distance, and H–H distance) characterizing this process. At large cluster/H<sub>2</sub> distances, however, it fails to reproduce the MRCI + *Q* curve, predicting too steep curve in the 10–12 bohr region, and underestimating the interaction energy above.

#### 4.1.2 Transition barrier

When the H<sub>2</sub> molecule approaches the surface and is close enough to experience the attractive potential of the substrate, its bond weakens and the two atoms are pulled apart before being chemisorbed, due to an electron donation of the Cu<sub>13</sub> cluster in the first antibonding orbital of H<sub>2</sub>. As previously shown in several studies on H<sub>2</sub>/Cu(100) [7, 8], the molecule has to get over a barrier for the dissociation. This barrier is very sensitive to the models, and depends on: (1) the surface coverage, (2) the number of integration points in the **k**-space for periodic calculations, (3) the atomic basis set, (4) the method used to represent the core electrons (pseudopotential or frozen core). Investigations on both systems [H<sub>2</sub>/Cu(100) and H<sub>2</sub>/Cu(111)] already suggested that GGA methods with a periodic slab approach overestimate barriers for H<sub>2</sub> + Cu systems by about 0.1–0.2 eV [52]. These latter results mean that, according to Wiesenekker estimates (0.7 eV) [7], the barrier height would be rather about 0.5–0.6 eV and the difference between GGA/slab and MRCI + *Q* calculations would thus be large. Dynamical calculations carried out by Somers et al. [4] using a potential energy surface fitted on periodic GGA results are in line with the work of Wiesenekker et al. [7] (see Table 1).

In the present work, the same cluster as already considered in the previous MRCI calculations [15] has been used to model the copper surface, making a comparison with these reference post-HF data easier than with the DFT periodic works. The energy range covered by the chosen functionals is [0.81, 1.56] eV, and all functionals underestimate the barrier height if we except the M06-2X data. The lowest barriers and largest errors are computed at the M06-L and PW91 levels, the value obtained with this latter functional being in line with previously published periodic calculations [8]. All functionals including exact HF exchange improve the agreement with the reference post-

HF data, an almost perfect agreement being obtained at the M06-2X level.

The positions of the barrier along the *z* axis are all slightly overestimated with a difference of about 0.28 bohr on *Z* (for the highest with M06-L) and about 0.16 bohr (for the lowest with M06-2X) with respect to the MRCI + *Q* calculations, all functionals performing nicely for the determination of the dissociation barrier.

Summarizing, we can say that DFT and MRCI + *Q* calculations are comparable for this process, with an overall better agreement when using a GmH functional such as M06-2X.

#### 4.1.3 Chemisorption

For the dissociative chemisorption of H<sub>2</sub> on Cu, all functionals give similar equilibrium distances *Z*, slightly below 2 bohr. This distance is in excellent agreement with that obtained at the MRCI level or using periodic calculations within a GGA approach [7] (1.9 and 2.0 bohr, respectively). The agreement on the optimized H–H bond length is also quite satisfactory, the *d* value ranging between 4.57 (ωB97X) and 4.85 bohr (M06-L), with a reference post-HF value of 4.82 bohr.

The difference in the chemisorption energy between the MRCI and periodic approaches is typically of about 0.1–0.2 eV, which can be assigned to different reasons. In particular, in periodic calculations, due to interatomic interaction between H<sub>2</sub> molecules in the adlayer [7], the mean energy of one H<sub>2</sub> interacting with the unit cell of the surface is not equal to the energy of a single H<sub>2</sub> with the surface [6]. The results of Guvelioglu et al. [53] for the chemisorption energy of H<sub>2</sub> interacting with the Cu(100) surface confirm Wiesenekker et al. [7] energy value which is found to be around 0.5 eV. Overestimated energies when dealing with clusters have also been reported by Guvelioglu et al. [53] who have calculated dissociative chemisorption energy of H<sub>2</sub> for optimized Cu<sub>*n*</sub> of different sizes, *n* varying from 2 to 15. The chemisorption interaction for clusters of *n* > 12 converges slowly to values within the range 0.6–0.9 eV.

Computed chemisorption energies are very similar with all methods, except for the M06-2X functional which significantly underestimates the MRCI + *Q* value. From Table 1, it is clear that all computed values are lower than the post-HF reference data, if we except the PW91 value for which an excellent agreement with previous periodic works is nevertheless obtained. All functionals perform very well, except M06-2X for which a significant underestimation of the chemisorption energy is obtained. Since functionals including 20–25% of HF exchange already reproduce nicely the MRCI + *Q* value, we can probably relate this discrepancy to the larger percentage

included in M06-2X (54%) compared to other hybrids. On the other hand, the comparison with the two considered RSH ( $\omega$ B97X and  $\omega$ B97XD) is not straightforward, since their amount of HF exchange is not constant. A quantitative agreement with the MRCI +  $Q$  value is obtained at the M06-L and B97-D levels, i.e., with functionals which only include approximated exchange. In addition, the post-HF vertical distance ( $Z$ ) is well-reproduced by all DFT methods, if we except once again the M06-2X functional.

In summary, among the nine functionals considered, none could be found to quantitatively reproduce the MRCI +  $Q$  data for the adsorption of one  $H_2$  molecule on a model copper surface. Although M06-2X nicely performs both for the physisorption and energy barrier data, it surprisingly fails to reproduce the chemisorption. This might be related to its large amount of exact HF exchange, which is well known to provide large errors on geometrical parameters, thus affecting, as in the present case, the energetical features.

## 4.2 Second layer interaction

The second layer interaction is described as the interaction of an incoming  $H_2$  with the chemisorbed system at its equilibrium geometry  $H + H + Cu_{13}$  ( $Z = 1.9$  bohr and  $d$  about 4.80 bohr depending on the considered functional). Two approaches of the second  $H_2$  molecule have been investigated: *parallel* or *perpendicular* to the first adsorbed  $H_2$  see Figs. 2a and b, respectively.

The previous MRCI +  $Q$  calculations [15] are the only existing reference calculations for this process : they have shown that the incoming hydrogen molecule in this second layer is not dissociated and only slightly activated, with a negligible stretching of the H–H bond. Table 2 presents data computed for both approaches of the second  $H_2$  molecule.

### 4.2.1 Parallel approach

The results for the physisorption along this orientation can be directly compared to those of the first layer since the  $H_2$  molecule approaches the surface in the same way. The comparison of the two sets of data given in Tables 1 and 2 shows that, for all functionals, the physisorption interaction of the second layer is smaller than that of the first layer, giving longer  $Z$  distances and smaller interaction energies. This is not in agreement with the MRCI +  $Q$  data, for which the opposite behavior is obtained.

From Fig. 4, it is clear that all functionals considered fail to accurately reproduce the post-HF curve. In particular, computed DFT interaction energies range between 0.3 (B3LYP) and 19.8 meV (B97-D), corresponding to vertical distances  $Z$  of 10.11 and 7.99 bohr, that is significantly different from the 77.5 meV and 6.42 bohr values computed at the MRCI +  $Q$  level. In fact, all functionals underbind the second  $H_2$  molecule of at least 60 meV and predict too large  $Z$  parameters of at least 1.5 bohr. This is especially true for B3LYP, for which the conclusions drawn for the adsorption of the first  $H_2$  layer still hold, with a very shallow profile in this case. It is interesting to notice that very similar interaction energies are computed at the  $\omega$ B97X and  $\omega$ B97XD levels, outlining that, around the physisorption minimum obtained with these functionals, dispersion only plays a small role, the two profiles being notably different only at larger  $Z$  distances.

On the other hand, the  $H_2$  bond length at the minimum of the physisorption well shows that  $H_2$  is not dissociated but only slightly stretched, in agreement with the MRCI +  $Q$  results.

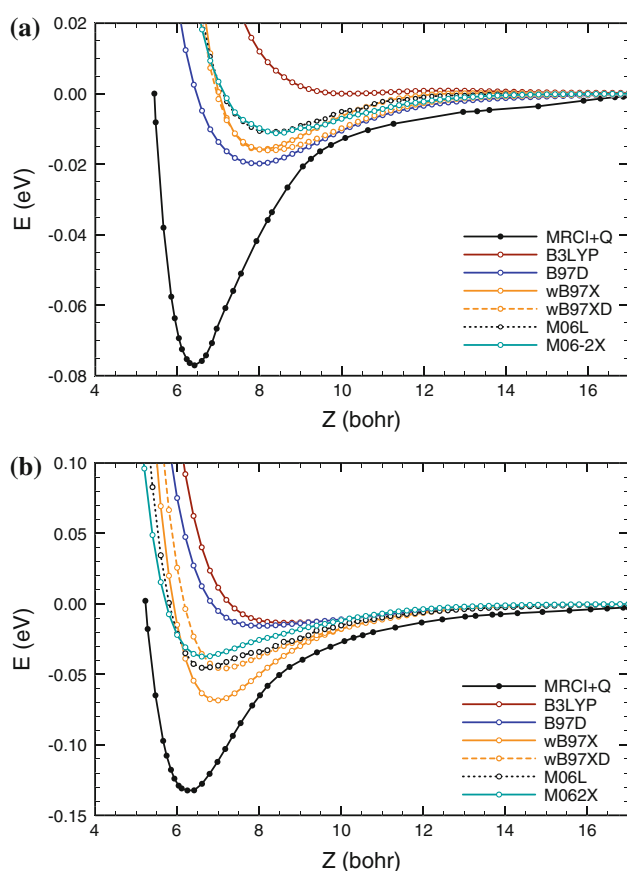
### 4.2.2 Perpendicular approach

In complete agreement with previous MRCI +  $Q$  results, for all functionals, calculated interaction energies upon

**Table 2** Second layer physisorption minimum ( $H_2/H_2Cu_{13}$  system), considering both parallel and perpendicular approaches of the second  $H_2$  molecule on the  $H_2Cu_{13}$  system

	Parallel			Perpendicular		
	$E/\text{meV}$	$Z/\text{bohr}$	$d(\text{H-H})/\text{bohr}$	$E/\text{meV}$	$Z/\text{bohr}$	$d(\text{H-H})/\text{bohr}$
PW91	19.1	8.56	1.42	41.5	7.80	1.42
PBE0	5.2	9.24	1.41	22.2	8.03	1.41
B3LYP	0.3	10.11	1.40	13.4	8.65	1.40
B97-D	19.8	7.99	1.41	15.6	8.02	1.41
$\omega$ B97X	15.8	8.00	1.41	68.5	6.96	1.41
$\omega$ B97XD	16.1	8.25	1.40	45.9	7.11	1.41
M06-L	10.7	8.00	1.41	45.4	6.66	1.41
M06-2X	11.2	8.45	1.39	37.5	6.67	1.40
Other works						
MRCI <sup>a</sup>	57.0	6.42	1.40	111.7	6.41	1.40
MRCI + $Q^a$	77.5	6.42	1.40	131.9	6.24	1.40

<sup>a</sup> From ref. [15]



**Fig. 4** Second  $H_2$  layer interaction curves, with both **a** parallel and **b** perpendicular approaches with respect to the first adsorbed layer (MRCI +  $Q$  data from Ref. [15])

perpendicular approach are larger than those obtained when considering a parallel approach, except for B97-D for which a reverse trend is surprisingly obtained.

As noticed for the parallel approach, significant discrepancies are obtained at the DFT and MRCI +  $Q$  levels, with smaller interaction energies and larger equilibrium distances  $Z$  computed with the former techniques. These trends clearly appear in Fig. 4.

#### 4.3 Comments

The analysis of the above discussed results is not straightforward since no trends are clearly evident in going from first to second layer interactions and from chemisorption to physisorption. Nevertheless, some points can be underlined.

Although the physisorption and dissociation processes involved in the adsorption of the first  $H_2$  molecule on the copper surface can be accurately described by a GmH functional such as M06-2X, this functional fails to reproduce the MRCI +  $Q$  data for the chemisorption. A more balanced description on such problems can be obtained

with ab initio multiconfigurational methods, but these techniques are rapidly limited by the number of atoms and electrons to be considered. Description of the physisorption of the second  $H_2$  layer is, however, much more challenging. In contrast to the MRCI +  $Q$  results, computed DFT interaction energies for this layer are smaller than those calculated for the first one. This stems probably from the fact that the DFT cannot reproduce the polarization of the surface due to the first chemisorbed layer as shown by the very small dipole moment calculated at the equilibrium geometry of the  $H + H + Cu_{13}$  chemisorbed system. Consequently, there is no enhancement of the second layer interaction. This suggests that the second layer  $H_2$  molecule does not experience any significant distortion of its electronic cloud from the underlying system  $H + H + Cu_{13}$ .

From a more general viewpoint, it should also be noticed that functionals providing accurate results on small model systems show their limits when applied to larger and more complex systems, such as the one considered in the present study.

#### 4.4 Conclusions

In this paper, the interaction of  $H_2$  with a metallic Cu cluster has been studied at DFT level. Nine exchange-correlation functionals, belonging to the GGAs, meta GGA (mGGA), hybrid Kohn–Sham/HF models, either based on GGAs or mGGAs, range-separated hybrids (RSH), and double-hybrid families, have been tested on the chemisorption and physisorption processes involving one or two  $H_2$  layers. The addition of an empirical correction for dispersion has also been tested for some of these functionals. Comparison has been made with previously reported accurate multi reference configuration interaction data.

Although the physisorption and dissociation processes involved in the adsorption of the first  $H_2$  molecule can be accurately described by a GmH functional such as M06-2X, this functional fails to reproduce the post-HF data for the chemisorption step. Thus, among the nine tested DFT methods, none reproduced quantitatively the MRCI +  $Q$  values.

More critical is the description of the second  $H_2$  layer, for which significant discrepancies on both physisorption energies and positions have been found with all DFT methods, no matter if a parallel or a perpendicular approach of the second  $H_2$  molecule with respect to the first one is considered. In particular, all these methods predict interaction energies smaller than the computed physisorption energies of the first layer, in contrast to the post-HF data for which a reverse trend is obtained. This has been attributed to a poor description of the polarization of the



surface due to the first chemisorbed layer, as outlined by the very small dipole moments calculated at the equilibrium geometry of the  $\text{H} + \text{H} + \text{Cu}_{13}$  chemisorbed system.

From these results therefore, although recently developed DFT methods can be successfully applied to small- or medium-sized weakly interacting systems, their application to larger or more complex systems such as  $\text{H}_2/\text{H}_2\text{Cu}_{13}$  is still challenging, opening the route for improvement and/or development of exchange-correlation functionals suitable for such systems.

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